

# REQUEST FOR QUALIFICATIONS & PROPOSAL (RFQ/P)



## LOW VOLTAGE – IT, & STRUCTURED CABLING SERVICES FOR SUMMIT STAGE TRANSIT HEADQUARTERS

**Owner:**

**Summit County Transit Department**  
0222 County Shops Road  
P.O. Box 2179  
Frisco, CO 80443  
(970) 668-4161

**Owner's Representative:**

**Artaic Group**  
2650 18<sup>th</sup> Street, Suite 301  
Denver, CO 80211

**Milestone Dates:**

**Qualifications Due** December 18th; 5:00pm MT (Via electronic submittal)

## Request for Qualifications & Proposal

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### A. Invitation

The Summit County Transit Department (SCTD) (Owner) is requesting qualifications and proposals from firm(s) interested in providing a low voltage services for the following project:

- The New Summit County Transit Headquarters, located in Frisco, Colorado

**All official communication with Candidates and questions regarding this RFQ/P will be via email to the Owner’s Representative at the delivery addresses listed below.**

All Candidate inquiries will be responded to collectively prior to the “Clarification Deadline” in the schedule noted below. A clarification with replies to all questions will be emailed to all Candidates who have requested the RFQ/P documents. Under no circumstances should candidates contact current consultant team members, or any individual associated with the Owner regarding this RFQ/P or this program.

\*Note Security, Access Control and A/V scopes are excluded from this RFQ/P

### B. Procurement Schedule

TASK	DATE
RFQ/P Available	November 19, 2024
RFQ/P Clarification Deadline	November 25, 2024; 5:00pm MST
RFQ/P Clarification Responses	December 6, 2024
RFQ/P Submission Due	December 18, 2024; 5:00pm MST
Candidate Interviews	December TBD, 2024
Candidate Notified of Selection	January TBD, 2024
Construction Commences	Early Spring 2025*
Construction Complete	Spring 2026*

\* Dependent on planning and permitting durations.

### C. Submission Instructions

**Deliver 1 electronic copy (PDF Format) via email to:**

Tom.Kenyon@ArtaicGroup.com with a cc to: Chris.Lubbers@summitcountycogov

**Responses should be addressed to:**

Mr. Chris Lubbers, Transit Director  
0222 County Shops Road  
P.O. Box 2179  
Frisco, CO 80443  
(970) 668-4161

## I. OWNER'S BACKGROUND & PROGRAM INFORMATION

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### A. Owner Background

Summit County is currently just past the 60% Construction Document phase of the proposed new Transit Headquarters which was initially envisioned in a 2015 County Commons Masterplan. The Transit building is a three story structure with a slab on grade footprint of 49,450 SF and usable space of 71,330 GSF of which 40,000 GSF is the slab on grade bus barn. Program includes office space for administration, operations, supervision, management, maintenance storage and dispatch. In level 2 of the building, a ten (10) apartment, 7,700 GSF housing component has been approved by Summit County Board of Commissioners - but this housing scope is 100% funded by Summit County and will **NOT** use any of the Federal Grant funds detailed below.

### B. Project Description

Summit County (Summit Stage) shall use 2020 SB-267 Federal Grant funds, along with 20% local matching funds, to perform Transit Operations Center Design, Engineering and Construction.

### C. Supporting Documents

Please use the following link to access the Exhibits associated with this RFQ/P.

[LINK TO EXHIBITS](#)

- Exhibit A – 90% Electrical Construction Documents
- Exhibit B – Low Volt Responsibility Matrix
- Exhibit C – Low Volt Cost Workbook
- Exhibit D – Build America, Buy America Documents
- Exhibit E – Federal Clauses
- Exhibit G – Acknowledgement of Buy America Requirements
- Exhibit H – Draft Contract (To be issued with Clarification 01 December 6<sup>th</sup>)

### D. Project Funding

Please note this project is funded by an FTA Grant and is subject to Federal Requirements noted within this RFP/Q that will also form part of the Contract with the selected Company. **All construction materials used within the project will be required to meet Buy America.**

## II. SCOPE AND PROJECT TEAM

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### A. Scope of Work

The Contractor at a minimum, will provide, perform, and/or design the following:

#### 1. Design Phase

##### A. Initial Assessment and Coordination

- **Site Visits and Analysis:** The contractor will conduct thorough review of **Exhibit A** and assess the building layout, specific low voltage needs, and potential obstacles for system implementation (e.g., cable pathways, mounting locations, and integration points).
- **Coordination with Other Trades:** The contractor will collaborate with other trades (e.g., mechanical, electrical, and plumbing) to ensure proper integration of low voltage systems with the overall building infrastructure.

## B. System Design and Engineering

- **System Specifications:** Based on the building's operational needs, the contractor will design low voltage systems such as structured cabling, and data. All designs must meet local building codes, industry standards (e.g., ANSI/TIA, NFPA), and client-specific requirements.
- **Technical Submittals:** Prepare detailed design documents, including:
  - System diagrams (e.g., network topology, cabling layout, device locations)
  - Equipment specifications
  - Bill of materials (BOM)
  - Shop drawings and wiring schematics

## C. Review and Approval Process

- **Owner/Client Review:** Present design documents for review by the project owner and other relevant stakeholders.
- **Revisions and Final Approval:** Make necessary revisions based on feedback, resubmit for final approval, and ensure compliance with local codes, regulations, and design requirements.

## 2. Procurement Phase

### A. Vendor Selection and Material Sourcing

- **Approved Equipment List:** Based on the approved design, the contractor will source the required materials and equipment, such as structured cabling, patch panels, and switches.
- **Vendor and Supplier Relationships:** The contractor must select vendors who provide reliable products that comply with industry standards (UL, CE, etc.) offer competitive pricing and meet Buy America requirements. Preference may be given to vendors that provide warranties services support and Buy America compliance letters.

### B. Procurement Planning and Logistics

- **Lead Time Management:** Identify the lead times for critical equipment and materials.
- **Inventory Management:** Develop a procurement plan that ensures materials are delivered to the site according to the project schedule and stored safely to avoid damage or theft.

### C. Budget Control

- **Cost Management:** Track procurement costs against the budget, ensuring competitive pricing through bids or quotations from multiple vendors.
- **Change Orders:** If there are any changes in design, the contractor will submit change orders for approval and adjust procurement as needed.

## 3. Installation Phase

### A. Pre-Installation Preparation

- **Site Readiness:** Confirm that all necessary preparations, such as conduit runs, pathways, and backboxes for devices, are complete by the general contractor or other trades.
- **Staging of Materials:** Properly stage all materials and equipment on-site for easy access, minimizing disruption and ensuring efficient workflow. Please note that the site is limited on storage space.

### B. Installation of Low Voltage Systems

- **Cabling and Infrastructure Installation:**
  - Install structured cabling (e.g., Cat 6A, fiber optics) according to the design. This includes cable runs, terminations, patch panels, and labeling.
  - Pull cables through raceways, walls, and ceilings as necessary, and secure them to prevent damage.
- **System-Specific Installation:**
  - **Telecommunications and Data Systems:** Install racks, servers, switches, and wireless access points. Ensure correct termination and connection of cables, patch panels, and jacks.
- **Coordination with General Contractor:** Regularly communicate with the general contractor to adjust installation activities based on the progress of other trades.

### C. Testing and Quality Control

- **System Testing:** After installation, conduct comprehensive testing of all systems:
  - Test and certify cabling (e.g., continuity, performance tests for Cat 6A/Fiber Optic).
- **Issue Resolution:** Identify and correct any issues that arise during testing. All systems must pass inspection before final approval.

## 4. Post-Installation Phase

### A. System Commissioning

- **Final Testing and Verification:** Perform a final round of system testing to verify that all components are functioning as designed and integrated properly.

- **Third-Party Inspections:** Ensure that third-party inspections (if required) for systems are completed and approved.
- **System Certification:** Provide certification documentation for installed systems, including network cabling test results.

#### B. Documentation and Handover

- **As-Built Drawings:** Deliver complete as-built drawings showing all installed systems, including any deviations from the original design during installation.
- **Operation and Maintenance (O&M) Manuals:** Provide detailed O&M manuals, including system specifications, operation instructions, and maintenance schedules for all installed systems.
- **Warranties:** Present warranty documentation for all installed equipment, covering both manufacturer warranties and contractor service guarantees.

#### C. Training

- **Owner Training Sessions:** Conduct on-site training sessions for the owner's staff on how to operate, troubleshoot, and maintain the systems.
  - **Telecommunications/Network Systems:** How to manage network switches, patch panels, and Wi-Fi configurations.

#### D. Post-Installation Support

- **Maintenance and Support Plans:** Provide options for ongoing maintenance and technical support post-installation, including service agreements for routine maintenance and emergency repair services.
- **Warranty Support:** Ensure that any defects or issues discovered during the warranty period are addressed promptly.

#### B. Project Team Members

Owner has already selected, and contracted the following professionals for the project team:

- Owner's Representative - Artaic Group
- Architect – D2C
  - Architects MEP Sub-Consultant – Kimley-Horn
- General Contractor (CM/GC) – JHL Constructors
- Commissioning Agent – Iconergy Ltd.

### III. SUBMITTAL REQUIREMENTS

Submittal shall respond to each item noted below. Please limit response information to relevant information only.

#### 1. Cover Letter / Letter of Interest

## 2. Firm Information

Provide a brief history of the firm including the following information:

- Number of years in business.
- Location of office servicing project.
- Location of main office, if different.
- Information on any claims or lawsuits your firm has had in the past 10 years.
- Confirm the maximum dollar value in which your firm can be bonded, and the amount currently bonded with confirmation statement from bonding company.
- Confirmation your firm will include all items outlined in the Scope of Work in Section II.

## 3. Qualifications & Availability of Proposed Team

- Provide organizational chart for your proposed team, including roles and responsibilities for each team member.
- Provide key similar project experience and career highlights/skills for all key team members.

## 4. Similar Project Experience

- Provide project profiles for up to five similar projects in progress or completed by your firm. Please include the following:
  - Project Description
  - Owner, Architect, & GC Contact Information
  - List of services performed

## 5. Schedule

- Clearly identify all construction activities including submittal review durations, long-lead procurement durations, project payment milestones, as well as discrete milestones for the Notice to Proceed, Substantial Completion, and Final Completion of project.

## 6. References

Provide a list of up to three (3) references from key comparable projects. Similarities can include municipal projects, work in Summit County/the mountain corridor, transportation centers. Projects listed should have been started or completed in the last 7 years.

Reference information should include:

- Reference Name and Title
- Reference Phone Number
- Reference Email
- Reference Project Description/Title
- Proposed Team Members who worked on referenced project

## 7. Cost Proposal

Please provide a comprehensive and detailed cost proposal including the following information on the provided Excel worksheet (**Exhibit C**):

1. Provide price breakdown of costs by system, hourly rates for both planning and design, and installation.
2. Provide the types and limits of all insurance in your proposal, specifically the insurance

- carried for on-site installation services. Include the following:
- a. Insurance Evidence of Coverage Certificate (EOC) or Certificate of Insurance (COI)
  - b. Provide statements to answer the following questions:
    - i. Does coverage meet minimum project requirements?
    - ii. Can this coverage be extended for work on this project?
    - iii. Can coverage be increased?
3. Surety Bond Reference for your Payment and Performance bonds if awarded.
    - a. Provide the name, address, and phone number of your firm’s surety bond agent.
  4. Provided estimated cost to design and establish drawings as needed for the remainder of low voltage including IT, and structured cabling.
    - a. The Contractor shall assume all expenses for all additional design, engineering, troubleshooting, repair, programming, and construction for the scope of work with coordination and review from the Owner.
  5. Cost of any manufacturer’s licenses, internal fees, or other charges billed for use of your firm’s proprietary software.
  6. Prepare bid documents and obtain bids from approved manufacturers for all areas within the scope of work.
  7. Estimate of reimbursable expenses, including the percentage mark-up.
  8. An hourly rate schedule for your staff members in the event the Owner requests additional services.
  9. Submission of Exhibit G Buy Acknowledgement of Buy America Requirements
  - 10. Please note that the Housing portion of the project will be funded separately, bidders will need to provide two separate proposals**
    - a. Transit Headquarters
    - b. Housing
      - i. Reference Exhibit A Sheet E-112, E-122 & E-124

Please submit ALL FEES REQUESTED ABOVE in Excel format on the provided Excel worksheet.

SUBMITTAL SECTION	POINTS
Cover Letter	5 Points
Firm Information	5 Points
Qualifications & Availability of Proposed Team	10 Points
Similar Project Experience	20 Points
References**	10 Points
Fee Proposal	50 Points
<b>TOTAL</b>	<b>100 Points</b>

\*\*References will be verified following the review and selection process. Satisfactory references will allow the contract to proceed.



## VI. PROVISIONS

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### A. Acceptance and Rejection

The Owner reserves the right to request additional information which, in the Owner's opinion, is necessary to ensure that the Owner has complete information with regard to the Service Provider's competence, business organization, and financial resources to assist in determining if the Service Provider is qualified.

The Owner reserves the right (a) to terminate the Request for Proposals process at any time; (b) to reject any or all proposals; and (c) to waive formalities and minor irregularities in the proposals received. The Owner reserves the right to reject any and all proposals in response to this Request for Proposal that are deemed not to be in the Owner's best interests. The Owner further reserves the right to amend this Request for Proposal at any time and will notify all recipients accordingly.

### B. RFQ/P Submission and Information

Proposals due at the specified date and time must be received by that date and time to receive consideration. Proposals received after the specified date and time are considered late and will not be eligible for review by the Selection Committee. Owner is not responsible for any late proposals received by mail or any other method of delivery.

The Owner is not responsible for costs incurred in the preparation of this proposal. Proposals will not be returned and become the property of the Owner once submitted. By submitting a proposal all Candidates agree to the terms and conditions of this RFQ/P and the RFQ/P will become part of the awarded Candidates contract. The Owner and the Owner's legal council will review the agreement and negotiate terms prior to commencement of work.

Candidates acknowledge all submissions to this RFQ/P may be subject to the Colorado Open Records Act (CORA).

Addenda may be issued for this RFQ/P. It is assumed by the Owner that any Candidate providing a submission is responsible for receiving and reviewing all information provided by addenda and Candidate shall acknowledge receipt of Addenda in their response to this RFQ/P.

**All firms shall have an active registration with Sam.Gov**

### C. Insurance

The Contractor(s) shall provide insurance coverage for the Project which shall not be less than the amounts listed below as set forth in the Request for Qualifications and Proposals; such insurance coverage shall include professional liability, general liability, automobile liability and workers' compensation. Insurance shall be maintained in full force and effect during the performance of the work, at the Candidate's own expense:

1. Worker's Compensation Insurance in such amounts as required to provide statutory benefits as required by the laws of the state where the premises are located, including Employers Liability with a limit of not less than One Million Dollars (\$1,000,000).

2. Commercial General Liability with policy limits of not less than One Million Dollars (\$ 1,000,000) for each occurrence and Two Million Dollars (\$ 2,000,000) in the aggregate for bodily injury and property damage.
3. Automobile Liability covering vehicles owned and non-owned vehicles used with policy limits of not less than One Million Dollars (\$ 1,000,000) per claim and One Million Dollars (\$ 1,000,000) in the aggregate for bodily injury and property damage along with any other statutorily required automobile coverage.
4. Professional Liability covering negligent acts, errors, and omissions in the performance of professional services, with policy limits of not less than One Million Dollars (\$ 1,000,000) per claim and Two Million Dollars (\$2,000,000) in the aggregate.
5. Such other coverage as may be required by Owner from time to time.
6. Each policy shall name the Owner, State and Artaic Group as additionally insured; and that it may not be cancelled or changed without at least thirty (30) days prior written notice to the Owner.
7. Consultant shall also furnish to Owner a Certificate of Insurance for Professional Liability to provide evidence of insurance compliance.
8. The consultant and their insurance carrier(s) shall agree to a Waiver of Subrogation.

#### **D. Performance and Payment Bonds**

Owner will require the Contractor to provide a performance bond and a separate labor and material payment bond, each of which shall (a) be executed by a corporate surety licensed to do business in the State, (b) be in customary form, (c) be in the amount payable to such subcontractor pursuant to its project contract and (d) be payable to the Owner. If, at any time prior to completion of the Work covered by any such bond, the surety shall be disqualified from doing business within the State, a new bond shall be provided from an alternate surety licensed to do business in the State. The amount of each bond shall be increased or decreased, as appropriate, to reflect changes to the construction costs. A copy of each such bond and all modifications thereto shall be furnished to the State within 60 days of the effective date of the related Project Contract.

#### **E. Contract Agreement**

If for any reason the selected Candidate, exceeds the project budget, presents unacceptable contract terms, or the Owner determines, at its sole discretion, the contractor is not the best fit for the project, and if subsequent negotiations with the selected Candidate are unsuccessful, the Owner reserves the right to negotiate with the next highest-scoring Candidate.

#### **F. Independent Cost Estimate**

In accordance with FTA procurement requirements, the Owner has prepared an Independent Cost Estimate (ICE) to validate cost proposals and ensure fair competition. This process aligns with FTA Circular 4220.1F requirements for cost/price analysis.

## FEDERAL CLAUSES

### ACCESS TO RECORDS AND REPORTS

- a. Record Retention. The Contractor will retain, and will require its subcontractors of all tiers to retain, complete and readily accessible records related in whole or in part to the contract, including, but not limited to, data, documents, reports, statistics, leases, subcontracts, arrangements, other third party Contracts of any type, and supporting materials related to those records.
- b. Retention Period. The Contractor agrees to comply with the record retention requirements in accordance with 2 C.F.R. § 200.334. The Contractor shall maintain all books, records, accounts and reports required under this Contract for a period of at not less than three (3) years after the date of termination or expiration of this Contract, except in the event of litigation or settlement of claims arising from the performance of this Contract, in which case records shall be maintained until the disposition of all such litigation, appeals, claims or exceptions related thereto.
- c. Access to Records. The Contractor agrees to provide sufficient access to FTA and its contractors to inspect and audit records and information related to performance of this contract in accordance with 2 CFR § 200.337.
- d. Access to the Sites of Performance. The Contractor agrees to permit FTA and its contractors access to the sites of performance under this contract in accordance with 2 CFR § 200.337.

### AMERICANS WITH DISABILITIES ACT(ADA)

The contractor agrees to comply with all applicable requirements of section 504 of the Rehabilitation Act of 1973, as amended, 29 U.S.C. § 794, which prohibits discrimination on the basis of handicaps, with the Americans with Disabilities Act of 1990 (ADA), as amended, 42 U.S.C. §§ 12101 et seq., which requires that accessible facilities and services be made available to persons with disabilities, including any subsequent amendments to that Act, and with the Architectural Barriers act of 1968, as amended, 42 U.S.C. §§ 4151 et seq., which requires that buildings and public accommodations be accessible to persons with disabilities, including any subsequent amendments to that Act. In addition, the contractor agrees to comply with any and all applicable requirements issued by the FTA, DOT, DOJ, U.S. GSA, U.S. EEOC, U.S. FCC, any subsequent amendments thereto and any other nondiscrimination statute(s) that may apply to the Project.

### RESTRICTIONS ON LOBBYING

- (a) No appropriated funds may be expended by the recipient of a Federal contract, grant, loan, or cooperative agreement to pay any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any of the following covered Federal actions: the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(b) Each person who requests or receives from an agency a Federal contract, grant, loan, or cooperative agreement shall file with that agency a certification, that the person has not made, and will not make, any payment prohibited by paragraph (a) of this section.

(c) Each person who requests or receives from an agency a Federal contract, grant, loan, or a cooperative agreement shall file with that agency a disclosure form if such person has made or has agreed to make any payment using nonappropriated funds (to include profits from any covered Federal action), which would be prohibited under paragraph (a) of this section if paid for with appropriated funds.

(d) Each person who requests or receives from an agency a commitment providing for the United States to insure or guarantee a loan shall file with that agency a statement, whether that person has made or has agreed to make any payment to influence or attempt to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with that loan insurance or guarantee.

(e) Each person who requests or receives from an agency a commitment providing for the United States to insure or guarantee a loan shall file with that agency a disclosure form if that person has made or has agreed to make any payment to influence or attempt to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with that loan insurance or guarantee.

(a) Each person shall file a certification, and a disclosure form, if required, with each submission that initiates agency consideration of such person for:

(1) Award of a Federal contract, grant, or cooperative agreement exceeding \$100,000; or

(2) An award of a Federal loan or a commitment providing for the United States to insure or guarantee a loan exceeding \$150,000.

(b) Each person shall file a certification, and a disclosure form, if required, upon receipt by such person of:

(1) A Federal contract, grant, or cooperative agreement exceeding \$100,000; or

(2) A Federal loan or a commitment providing for the United States to insure or guarantee a loan exceeding \$150,000, Unless such person previously filed a certification, and a disclosure form, if required, under paragraph (a) of this section.

(c) Each person shall file a disclosure form at the end of each calendar quarter in which there occurs any event that requires disclosure or that materially affects the accuracy of the information contained in any disclosure form previously filed by such person under paragraphs (a) or (b) of this section. An event that materially affects the accuracy of the information reported includes:

(1) A cumulative increase of \$25,000 or more in the amount paid or expected to be paid for influencing or attempting to influence a covered Federal action; or

(2) A change in the person(s) or individual(s) influencing or attempting to influence a covered Federal action; or,

- (3) A change in the officer(s), employee(s), or Member(s) contacted to influence or attempt to influence a covered Federal action.
- (d) Any person who requests or receives from a person referred to in paragraphs (a) or (b) of this section:
- (1) A subcontract exceeding \$100,000 at any tier under a Federal contract;
  - (2) A subgrant, contract, or subcontract exceeding \$100,000 at any tier under a Federal grant;
  - (3) A contract or subcontract exceeding \$100,000 at any tier under a Federal loan exceeding \$150,000; or,
  - (4) A contract or subcontract exceeding \$100,000 at any tier under a Federal cooperative agreement, Shall file a certification, and a disclosure form, if required, to the next tier above.
- (e) All disclosure forms, but not certifications, shall be forwarded from tier to tier until received by the person referred to in paragraphs (a) or (b) of this section. That person shall forward all disclosure forms to the agency.
- (f) Any certification or disclosure form filed under paragraph (e) of this section shall be treated as a material representation of fact upon which all receiving tiers shall rely. All liability arising from an erroneous representation shall be borne solely by the tier filing that representation and shall not be shared by any tier to which the erroneous representation is forwarded. Submitting an erroneous certification or disclosure constitutes a failure to file the required certification or disclosure, respectively. If a person fails to file a required certification or disclosure, the United States may pursue all available remedies, including those authorized by section 1352, title 31, U.S.Code.
- (g) For awards and commitments in process prior to December 23, 1989, but not made before that date, certifications shall be required at award or commitment, covering activities occurring between December 23, 1989, and the date of award or commitment. However, for awards and commitments in process prior to the December 23, 1989 effective date of these provisions, but not made before December 23, 1989, disclosure forms shall not be required at time of award or commitment but shall be filed within 30 days.
- (h) No reporting is required for an activity paid for with appropriated funds if that activity is allowable under either subpart B or C.

## **CIVIL RIGHTS AND EQUAL OPPORTUNITY**

Under this Agreement, the Contractor shall at all times comply with the following requirements and shall include these requirements in each subcontract entered into as part thereof.

1. **Nondiscrimination.** In accordance with Federal transit law at 49 U.S.C. § 5332, the Contractor agrees that it will not discriminate against any employee or applicant for employment because of race,

color, religion, national origin, sex, disability, or age. In addition, the Contractor agrees to comply with applicable Federal implementing regulations and other implementing requirements FTA may issue.

2. Race, Color, Religion, National Origin, Sex. In accordance with Title VII of the Civil Rights Act, as amended, 42 U.S.C. § 2000e et seq., and Federal transit laws at 49 U.S.C. § 5332, the Contractor agrees to comply with all applicable equal employment opportunity requirements of U.S. Department of Labor (U.S. DOL) regulations, "Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor," 41 C.F.R. chapter 60, and Executive Order No. 11246, "Equal Employment Opportunity in Federal Employment," September 24, 1965, 42 U.S.C. § 2000e note, as amended by any later Executive Order that amends or supersedes it, referenced in 42 U.S.C. § 2000e note. The Contractor agrees to take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, national origin, or sex (including sexual orientation and gender identity). Such action shall include, but not be limited to, the following: employment, promotion, demotion or transfer, recruitment or recruitment advertising, layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. In addition, the Contractor agrees to comply with any implementing requirements FTA may issue.

3. Age. In accordance with the Age Discrimination in Employment Act, 29 U.S.C. §§ 621-634, U.S. Equal Employment Opportunity Commission (U.S. EEOC) regulations, "Age Discrimination in Employment Act," 29 C.F.R. part 1625, the Age Discrimination Act of 1975, as amended, 42 U.S.C. § 6101 et seq., U.S. Health and Human Services regulations, "Nondiscrimination on the Basis of Age in Programs or Activities Receiving Federal Financial Assistance," 45 C.F.R. part 90, and Federal transit law at 49 U.S.C. § 5332, the Contractor agrees to refrain from discrimination against present and prospective employees for reason of age. In addition, the Contractor agrees to comply with any implementing requirements FTA may issue.

4. Disabilities. In accordance with section 504 of the Rehabilitation Act of 1973, as amended, 29 U.S.C. § 794, the Americans with Disabilities Act of 1990, as amended, 42 U.S.C. § 12101 et seq., the Architectural Barriers Act of 1968, as amended, 42 U.S.C. § 4151 et seq., and Federal transit law at 49 U.S.C. § 5332, the Contractor agrees that it will not discriminate against individuals on the basis of disability. In addition, the Contractor agrees to comply with any implementing requirements FTA may issue.

1. Age. In accordance with the Age Discrimination in Employment Act, 29 U.S.C. §§ 621•634, U.S. Equal Employment Opportunity Commission (U.S. EEOC) regulations, "Age Discrimination in Employment Act," 29 C.F.R. part 1625, the Age Discrimination Act of 1975, as amended, 42 U.S.C. § 6101 et seq., U.S. Health and Human Services regulations, "Nondiscrimination on the Basis of Age in Programs or Activities Receiving Federal Financial Assistance," 45 C.F.R. part 90, and Federal transit law at 49 U.S.C. § 5332, the Contractor agrees to refrain from discrimination against present and prospective employees for reason of age. In addition, the Contractor agrees to comply with any Implementing requirements FTA may issue.

2. Disabilities. In accordance with section 504 of the Rehabilitation Act of 1973, as amended, 29 U.S.C. § 794, the Americans with Disabilities Act of 1990, as amended, 42 U.S.C. § 12101 et seq., the Architectural Barriers Act of 1968, as amended, 42 U.S.C. § 4151 et seq., and Federal transit law at 49

U.S.C. § 5332, the Contractor agrees that it will not discriminate against individuals on the basis of disability. In addition, the Contractor agrees to comply with any implementing requirements FTA may issue.

1. Promoting Free Speech and Religious Liberty. The Contractor shall ensure that Federal funding is expended in full accordance with the U.S. Constitution, Federal Law, and statutory and public policy requirements: including, but not limited to, those protecting free speech, religious liberty, public welfare, the environment, and prohibiting discrimination.

## **CLEAN AIR ACT AND FEDERAL WATER POLLUTION CONTROL ACT**

The Contractor agrees to comply with all applicable standards, orders, or regulations issued pursuant to the Clean Air Act (42 U.S.C. § 7401•7671q) and the Federal Water Pollution Control Act as amended (33 U.S.C. § 1251•1387). Violations must be reported to FTA and the Regional Office of the Environmental Protection Agency. The following applies for contracts of amounts in excess of \$150,000:

### Clean Air Act

- (1) The contractor agrees to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act, as amended, 42 U.S.C. § 7401 et seq.
- (2) The contractor agrees to report each violation to the Agency and understands and agrees that the Agency will, in turn, report each violation as required to assure notification to the Agency, Federal Emergency Management Agency, and the appropriate Environmental Protection Agency Regional Office.
- (3) The contractor agrees to include these requirements in each subcontract exceeding \$150,000 financed in whole or in part with Federal assistance provided by FTA.

### Federal Water Pollution Control Act

- (1) The contractor agrees to comply with all applicable standards, orders or regulations issued pursuant to the Federal Water Pollution Control Act, as amended, 33 U.S.C. 1251 et seq.
- (2) The contractor agrees to report each violation to the Agency and understands and agrees that the Agency will, in turn, report each violation as required to assure notification to the Agency, Federal Emergency Management Agency, and the appropriate Environmental Protection Agency Regional Office.
- (3) The contractor agrees to include these requirements in each subcontract exceeding \$150,000 financed in whole or in part with Federal assistance provided by FTA.”

## **CONFORMANCE WITH ITS NATIONAL ARCHITECTURE**

Intelligent Transportation Systems (ITS) projects shall conform to the National ITS Architecture and standards pursuant to 23 CFR § 940. Conformance with the National ITS Architecture is interpreted to mean the use of the National ITS Architecture to develop a regional ITS architecture in support of integration and the subsequent adherence of all ITS projects to that regional ITS architecture.

Development of the regional ITS architecture should be consistent with the transportation planning process for Statewide and Metropolitan Transportation Planning (49 CFR Part 613 and 621).

## **DEBARMENT AND SUSPENSION**

The Contractor shall comply and facilitate compliance with U.S. DOT regulations, "Nonprocurement Suspension and Debarment," 2 C.F.R. part 1200, which adopts and supplements the U.S. Office of Management and Budget (U.S. OMB) "Guidelines to Agencies on Governmentwide Debarment and Suspension (Nonprocurement)," 2 C.F.R. part 180. These provisions apply to each contract at any tier of \$25,000 or more, and to each contract at any tier for a federally required audit (irrespective of the contract amount), and to each contract at any tier that must be approved by an FTA official irrespective of the contract amount. As such, the Contractor shall verify that its principals, affiliates, and subcontractors are eligible to participate in this federally funded contract and are not presently declared by any Federal department or agency to be:

- a) Debarred from participation in any federally assisted Award;
- b) Suspended from participation in any federally assisted Award;
- c) Proposed for debarment from participation in any federally assisted Award;
- d) Declared ineligible to participate in any federally assisted Award;
- e) Voluntarily excluded from participation in any federally assisted Award; or
- f) Disqualified from participation in any federally assisted Award.

By signing and submitting its bid or proposal, the bidder or proposer certifies as follows:

The certification in this clause is a material representation of fact relied upon by the AGENCY. If it is later determined by the AGENCY that the bidder or proposer knowingly rendered an erroneous certification, in addition to remedies available to the AGENCY, the Federal Government may pursue available remedies, including but not limited to suspension and/or debarment. The bidder or proposer agrees to comply with the requirements of 2 C.F.R. part 180, subpart C, as supplemented by 2 C.F.R. part 1200, while this offer is valid and throughout the period of any contract that may arise from this offer. The bidder or proposer further agrees to include a provision requiring such compliance in its lower tier covered transactions.

## **II. DISADVANTAGED BUSINESS ENTERPRISE (DBE) REQUIREMENTS FOR FTA-ASSISTED SUBRECIPIENT CONTRACTS (RACE-NEUTRAL)**

1. Overview. The Disadvantaged Business Enterprise (DBE) Program is a federally-mandated program that seeks to ensure non-discrimination in the award of U.S. Department of Transportation (DOT)-assisted contracts and to create a level playing field on which DBEs can compete fairly for DOT-assisted contracts.



Due to this funding source, this contract is included within CDOT's goal of 2.44% statewide Disadvantaged Business Enterprise (DBE) participation on all its FTA-assisted contracts. In order to achieve this FTA-approved goal, all bidders and participants on this contract are strongly encouraged to solicit and utilize certified DBE firms for any available contracting opportunities. A directory of DBE firms certified in Colorado can be viewed at <https://coucp.dbesystem.com/FrontEnd/SearchCertifiedDirectory.asp?XID=8140&TN=coucp>

For general assistance regarding the DBE program and compliance, contact CDOT EJE at (303) 757-9234 or [dot\\_civilrights@state.co.us](mailto:dot_civilrights@state.co.us). For project specific issues, contact the Project Manager or CDOT's Division of Transit and Rail (DTR). DTR staff contact information can be found at <https://www.codot.gov/programs/transitandrail/contacts>.

In the case that CDOT hosts a small business outreach event for the Summit Stage Transit Facility Project, the Prime Contractor shall participate.

2. Contract Assurance. By submitting a proposal for this Contract, the bidder agrees to the following assurance and shall include the following paragraph verbatim in all subcontracts including those with non-DBE firms:

The Prime Contractor, Subrecipients of DOT-assistance through CDOT, Subcontractor, or Supplier shall not discriminate on the basis of race, color, national origin, or sex in the performance of this Contract. All participants on the Contract shall carry out applicable requirements of 49 CFR part 26 in the award and administration of DOT-assisted contracts. Failure by the Prime Contractor to carry out these requirements is a material breach of this Contract, which may result in the termination of this Contract or such other remedy as the Subrecipient or CDOT deems appropriate, which may include, but is not limited to: (1) Withholding monthly progress payments; (2) Assessing sanctions; (3) Liquidated damages; and/or (4) Disqualifying the Prime Contractor from future bidding as non-responsible.

3. Counting. In order for work performed by a DBE to count as Eligible Participation, the following criteria must be met:

(a) DBE Certified to Perform the Work. The DBE must be certified by the Colorado UCP in the work to be performed. DBEs are certified in particular areas of work, which are designated by a Work Code. Each DBE's Work Codes can be found on their profile on the Colorado UCP DBE Directory at [www.co-dbe.org](http://www.co-dbe.org).

The DBE must be certified to perform the work, and not under suspension, upon execution of the DBE's subcontract. If upon review of the CDOT Transit Form 205, Sublet Permit Application, or CDOT Form 1425, Supplier Application Approval Request, the DBE is no longer certified in the Work Code which covers the work to be performed, the Prime Contractor may not use the DBE's participation as Eligible Participation. However, a DBE's work will continue to count as Eligible Participation if the DBE was certified upon approval of the CDOT Transit Form 205 or CDOT Form 1425 but the certification status changes during the performance of the work. DBE Suppliers must be certified on or before the date of the purchase order.

(b) Work Included in Commitment and/or Verified via Form 205 or Form 1425. The work performed by the DBE must be reasonably construed to be included in the work area and Work Code identified by the Prime Contractor on the CDOT Transit Form 205 or CDOT Form 1425.

(c) Work Performed by DBE. The work must be actually performed by the DBE with their own forces. For purposes of these contract requirements, work performed by the DBE with their own forces includes work by temporary employees, provided such employees are under the control of the DBE; the cost of supplies and materials obtained by the DBE for their work on the Contract, provided that such supplies are not purchased or leased from the Prime Contractor or a Subcontractor that is subletting to the DBE; the cost of any equipment leased by the DBE, provided that such equipment is not leased from the Prime Contractor or a Subcontractor that is subletting to the DBE.

When a DBE subcontracts part of the work, the value of the subcontracted work shall be counted as Eligible Participation only if the Subcontractor is a DBE. Performance of subcontracted work by non-DBE Subcontractors, including non-DBE trucking firms and owner-operators, is not Eligible Participation.

(d) Payment Received for Work. The DBE must receive payment, including the release of their retainage, in order for the work to count as Eligible Participation.

(e) Special Calculations for Suppliers. When a DBE supplies goods or materials for a project, the DBE may be classified as a manufacturer, dealer or broker. The DBE's status as a manufacturer, dealer or broker is determined on a contract-by-contract basis, based upon the actual work performed, per 49 CFR Part 26.55(e). When a DBE is deemed to be acting as a manufacturer, 100 percent of the cost of the materials and/or supplies will count as Eligible Participation. When a DBE is deemed to be acting as a regular dealer (non-manufacturer supplier), only 60 percent of the cost of the materials and/or supplies will count as Eligible Participation. When a DBE is deemed to be acting as a broker, only the reasonable brokerage fee will count as Eligible Participation.

(f) Service Fees. For a DBE firm providing a bona fide service, such as professional, technical, consultant, or managerial services, or for providing bonds or insurance specifically required for the performance of a DOT-assisted contract, the fees and commissions charged by the DBE shall count as Eligible Participation, provided the fees are not excessive as compared with fees customarily allowed for similar services. In the case of DBE temporary employment placement agencies, only the placement fee for a temporary employee that will be specifically and exclusively used for work on the contract shall count as Eligible Participation; the hourly fee does not count as Eligible Participation unless the firm is also certified in the work to be performed.

(g) Joint Venture Calculation. When a DBE is a participant in a joint venture, the DBE must submit CDOT Form 893, Information for Determining DBE Participation when a Joint Venture Includes a DBE, to determine how much of the work performed by the joint venture may be considered Eligible Participation. To ensure sufficient time for review, CDOT Form 893 shall be submitted to the project owner no less than ten days before the submission of the bid or, if requested during the Contract, the point at which the DBE will begin work.

(h) Commercially Useful Function. Upon a determination that a DBE has not performed a Commercially Useful Function (CUF) on the project, no participation by such DBE is Eligible Participation. DBE performance on the Contract will be monitored to ensure each DBE is performing a CUF.

The DBE, Prime Contractor, and any other involved third parties may also be subject to additional enforcement actions as described in Section 5 of these contract requirements.

The amount of work subcontracted, industry practices, the amount the firm is to be paid compared to the work performed and Eligible Participation claimed, and any other relevant factors will be considered in evaluating whether a DBE is performing a CUF. With respect to material and supplies used on the Contract, the DBE must be responsible for negotiating price, determining quality and quantity, ordering the material, installing the material, if applicable, and paying for the material itself in order to perform a CUF.

With respect to trucking, the DBE trucking firm must own and operate at least one fully licensed, insured, and operational truck used on the Contract in order to perform a CUF. Additionally, the DBE trucking firm must be responsible for the management and supervision of their entire trucking operation on the Contract. Work by a DBE trucking firm will count as Eligible Participation only if the work was performed (i) with trucks owned and insured by the DBE trucking firm and those trucks were operated by drivers employed by the DBE trucking firm or (ii) with trucks leased by the DBE trucking firm from another DBE firm including owner operators who are certified DBEs. The DBE who leases trucks from another DBE receives credit for the transportation services the lessee DBE provides on the contract.

A DBE does not perform a CUF when their role is limited to that of an extra participant in a transaction, contract or project through which funds are passed in order to obtain the appearance of DBE participation. Similar transactions involving non-DBEs will be evaluated in order to determine whether a DBE is an extra participant. If a DBE does not perform or exercise responsibility for at least 30 percent of the total cost of their contract or subcontract with their own work force, or the DBE subcontracts a greater portion of the work than would be expected on the basis of normal industry practice for the type of work involved, CDOT will presume the DBE is not performing a CUF. The DBE may present evidence to rebut this presumption. CUF will be evaluated using Form 1432 per Section 4(a) below.

(i) Joint Checks. All Joint Checks must be approved before they are used in payment to a DBE. Joint Checks used in payments to DBEs will be monitored closely to ensure the DBE is performing a CUF and the Joint Checks are not being used in a discriminatory manner. The Prime Contractor shall request approval by the Subrecipient for the use of a Joint Check in a written letter signed by the DBE and the Prime Contractor, stating the reason for the Joint Checks and the approximate number of checks that will be needed. Failure to receive approval by the Subrecipient of a Joint Check may result in not counting such payment as Eligible Participation.

4. Contract Finalization. Form 1432. In order to have work performed and/or supplies provided by a DBE on the Contract count as Eligible Participation, the Contractor must submit a Form 1432 for that DBE. The Form 1432 must be signed by the DBE, Contractor and Project Manager. Work performed and/or supplies provided on the Contract by a DBE Commitment will not count as Eligible Participation without a corresponding CDOT Form 1432.

5. Other Enforcement. As necessary, participants may be reviewed or investigated. All participants, including, but not limited to, DBE firms and applicants for DBE certification, complainants, and contractors using DBE firms to meet CDOT's overall FTA DBE goal, are required to cooperate fully and promptly with compliance reviews, certification reviews, investigations, and other requests for information.

Participants shall not intimidate, threaten, coerce, or discriminate against any individual or firm for the purpose of interfering with any right or privilege secured by the DBE program or because the individual or firm has made a complaint, testified, assisted, or participated in any manner in an investigation, proceeding, or hearing under the DBE program. Failure to comply with this paragraph shall be a ground for appropriate action against the party involved (with respect to recipients, a finding of noncompliance; with respect to DBE firms, denial of certification or removal of eligibility, and/or suspension and debarment; with respect to a complainant or appellant, dismissal of the complaint or appeal; with respect to a contractor which uses DBE firms to meet goals, findings of non-responsibility for future contracts and/or suspension and debarment).

Upon a determination that any firm was a knowing and willing participant in any intended or actual subcontracting arrangement contrived to artificially inflate DBE participation or any other impermissible business arrangement, or if the firm engages in repeated violations, falsification or misrepresentation, any fraudulent or misrepresented DBE participation shall not count as Eligible Participation, progress payments may be withheld from the Prime Contractor commensurate with the violation, the Prime Contractor's prequalification status may be suspended, the matter may be referred to the Office of Inspector General of the U.S. Department of Transportation for investigation and/or any other available contractual remedy may be sought.

### **III. SUBLETTING OF CONTRACT**

The Prime Contractor shall not sublet, sell, transfer, assign, or dispose of the Contract, or any portion thereof without written permission of the Project Manager. All firms to which the contractor will be subletting a portion of the contract shall have an account created in the B2GNow software system. If the firm does not have an account created, approval of the CDOT Transit Form 205 may be withheld. Before beginning any work by the Subcontractor, the Prime Contractor shall request permission from the Engineer by submitting a completed Sublet Permit Application, CDOT Transit Form 205. The Subcontractor shall not begin work until the Prime Contractor has received the Project Manager's written permission via an approved CDOT Transit Form 205. The Prime Contractor shall make all project-related written subcontracts, agreements, and purchase orders available to the Project Manager for viewing, upon request, and at a location convenient to the Project Manager.

### **IV. REPORTING OF SUPPLIERS**

All rental equipment companies and all entities who meet the Supplier definition, as outlined in Section I. of this document, in which the written agreement exceeds \$10,000, shall have the following requirements for the Contract:

- (a) Rental equipment companies and Suppliers shall create an account in the B2GNow software system.
- (b) The Contractor shall submit a completed Form 1425 in the B2GNow software system at such time that the \$10,000 amount is known to be exceeded and/or before the following occurs on the Contract:

- the Supplier's upper tier begins work, or
- rental equipment is being used, or
- incorporating materials into the Contract

Failure to comply with the requirements of this subsection shall be grounds for withholding of progress payments.

## **V. PROMPT PAYMENT**

The Prime Contractor shall pay Subcontractors and/or Suppliers for all work which has been satisfactorily completed within seven calendar days after receiving payment for that work from the Subrecipient. For the purpose of this section only, work shall be considered satisfactorily complete when the Subrecipient has made payment for the work. The Prime Contractor shall include in all subcontracts a provision that this requirement for prompt payment to Subcontractors and/or Suppliers must be included in all subcontracts at every tier. The Prime Contractor shall ensure that all Subcontractors and/or Suppliers at every tier are promptly paid. If the Prime Contractor, Subcontractors, and/or Suppliers fail to comply with the prompt payment requirement of this section, the Subrecipient will not authorize further progress payment for work performed directly by the Prime Contractor or the noncompliant Subcontractor and/or Supplier until the required payments have been made. The Subrecipient will continue to authorize progress payments for work performed by compliant Subcontractors and/or Suppliers.

(a) **Good Cause Exception.** If the Prime Contractor has good cause to delay or withhold a Subcontractor/Supplier's progress payment, the Prime Contractor shall notify the Subrecipient and the Subcontractor/Supplier in writing within seven calendar days after receiving payment from the Subrecipient. The notification shall specify the amount being withheld and provide adequate justification for withholding the payment. The notice shall also clearly state what conditions the Subcontractor/Supplier must meet to receive payment. Good cause shall include but not be limited to the failure of the Subcontractor/Supplier to make timely submission of required paperwork.

(b) **Monthly Reporting.** By the 15th of each month, the Prime Contractor shall record all payments to Subcontractors and Suppliers by completing an audit in the B2Gnow system. If the Prime Contractor has good cause for delay as described in Section V. (a) above, the Prime Contractor shall include the justification in its monthly audit. Once the prime enters a payment to a Subcontractor or Supplier, the Subcontractor or Supplier shall have fifteen days from the notice to confirm payment or report an issue. If a Subcontractor or Supplier is also a payer, the Subcontractor or Supplier shall also report all prompt payment to its Subcontractors. If the Subcontractor or Supplier does not report a prompt payment issue within fifteen days from the Prime Contractor's monthly reporting, the Subcontractor waives assistance from the Subrecipient in resolving the prompt payment issue and the monthly audit will be closed. This provision should not be construed to limit the Subcontractor's contractual remedies.

## **VI. LABOR COMPLIANCE**

1. **Wage Determination.** See attached wage determination applicable for this contract.

2. Prevailing Wage and Anti-Kickback. For all prime construction, alteration or repair contracts in excess of \$2,000 awarded by FTA, the Contractor shall comply with the Davis-Bacon Act and the Copeland "Anti-Kickback" Act. Under 49 U.S.C. § 5333(a), prevailing wage protections apply to laborers and mechanics employed on FTA assisted construction, alteration, or repair projects. The Contractor will comply with the Davis-Bacon Act, 40 U.S.C. §§ 3141-3144, and 3146-3148 as supplemented by DOL regulations at 29 C.F.R. part 5, "Labor Standards Provisions Applicable to Contracts Governing Federally Financed and Assisted Construction." In accordance with the statute, the Contractor shall pay wages to laborers and mechanics at a rate not less than the prevailing wages specified in a wage determination made by the Secretary of Labor. In addition, the Contractor agrees to pay wages not less than once a week. The Contractor shall also comply with the Copeland "Anti-Kickback" Act (40 U.S.C. § 3145), as supplemented by DOL regulations at 29 C.F.R. part 3, "Contractors and Subcontractors on Public Building or Public Work Financed in Whole or in part by Loans or Grants from the United States." The Contractor is prohibited from inducing, by any means, any person employed in the construction, completion, or repair of public work, to give up any part of the compensation to which he or she is otherwise entitled.

The Contractor or subcontractor shall insert in any subcontracts the clauses set forth in this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime Contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in this agreement.

3. Contract Work Hours and Safety Standards. For all contracts in excess of \$100,000 that involve the employment of mechanics or laborers, the Contractor shall comply with the Contract Work Hours and Safety Standards Act (40 U.S.C. §§ 3701-3708), as supplemented by the DOL regulations at 29 C.F.R. part 5. Under 40 U.S.C. § 3702 of the Act, the Contractor shall compute the wages of every mechanic and laborer, including watchmen and guards, on the basis of a standard work week of 40 hours. Work in excess of the standard work week is permissible provided that the worker is compensated at a rate of not less than one and a half times the basic rate of pay for all hours worked in excess of 40 hours in the work week. The requirements of 40 U.S.C. § 3704 are applicable to construction work and provide that no laborer or mechanic be required to work in surroundings or under working conditions which are unsanitary, hazardous or dangerous. These requirements do not apply to the purchase of supplies or materials or articles ordinarily available on the open market, or to contracts for transportation or transmission of intelligence.

In the event of any violation of the clause set forth herein, the Contractor and any subcontractor responsible therefore shall be liable for the unpaid wages. In addition, the Contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of this clause in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by this clause.

The FTA shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the Contractor or subcontractor under any such contract or any other Federal contract with the same prime Contractor, or any other federally-assisted contract subject to the Contract Work

Hours and Safety Standards Act, which is held by the same prime Contractor, such sums as may be determined to be necessary to satisfy any liabilities of such Contractor or subcontractor for unpaid wages and liquidated damages as provided in this section.

The Contractor or subcontractor shall insert in any subcontracts the clauses set forth in this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime Contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in this agreement.

4. LCPtracker. All applicable contractors subject to Davis-Bacon and Related Acts (DBRA) requirements shall submit all payrolls weekly (at least every seven days). The Contractor, all subcontractors, and applicable suppliers required to submit certified payrolls shall follow all DBRA requirements,

including sections 5.5, 3.5, and 3.6 of the 29 CFR. Contractors shall upload a completed Contractor Fringe Benefit Statement (CFBS) into LCPtracker at least once per project, utilizing the following web link:

<https://prod-cdn.lcptracker.net/login/login>

The CFBS shall include benefit details for employees who perform work on the project. The CFBS shall provide an overview of the bona fide benefits provided by the employer. If a contractor's fringe benefits change during the project's life, a revised CFBS shall be submitted to reflect the changes accurately. Note other deductions by type and amount within LCPtracker. Attach required supporting documentation in edocuments within LCPtracker. Contractors, subcontractors, and applicable suppliers shall establish and utilize a process that allows all employees to verify the number of hours and classifications submitted to pay wages and benefits.

The Contractor, subcontractors, and applicable suppliers shall submit payrolls directly into LCPtracker for approval by the Contractor. The prime approver for the Contractor shall approve or reject payrolls within seven days after submission into LCPtracker.

## **ENERGY CONSERVATION**

The contractor agrees to comply with mandatory standards and policies relating to energy efficiency, which are contained in the state energy conservation plan issued in compliance with the Energy Policy and Conservation Act (42 U.S.C. § 6201).

## **EQUAL EMPLOYMENT OPPORTUNITY**

During the performance of this contract, the contractor agrees as follows:

(1) The contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, sexual orientation, gender identity, or national origin. The contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, sexual orientation, gender identity, or

national origin. Such action shall include, but not be limited to the following: Employment, upgrading, demotion, or transfer, recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the contracting officer setting forth the provisions of this nondiscrimination clause.

(2) The contractor will, in all solicitations or advertisements for employees placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, sexual orientation, gender identity, or national origin.

(3) The contractor will not discharge or in any other manner discriminate against any employee or applicant for employment because such employee or applicant has inquired about, discussed, or disclosed the compensation of the employee or applicant or another employee or applicant. This provision shall not apply to instances in which an employee who has access to the compensation information of other employees or applicants as a part of such employee's essential job functions discloses the compensation of such other employees or applicants to individuals who do not otherwise have access to such information, unless such disclosure is in response to a formal complaint or charge, in furtherance of an investigation, proceeding, hearing, or action, including an investigation conducted by the employer, or is consistent with the contractor's legal duty to furnish information.

(4) The contractor will send to each labor union or representative of workers with which it has a collective bargaining agreement or other contract or understanding, a notice to be provided by the agency contracting officer, advising the labor union or workers' representative of the contractor's commitments under section 202 of Executive Order 11246 of September 24, 1965, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

(5) The contractor will comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor.

(6) The contractor will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by the rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the contracting agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.

(7) In the event of the contractor's non-compliance with the nondiscrimination clauses of this contract or with any of such rules, regulations, or orders, this contract may be canceled, terminated or suspended in whole or in part and the contractor may be declared ineligible for further Government contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.

(8) The contractor will include the provisions of paragraphs (1) through (8) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to section 204 of Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each subcontractor or vendor. The contractor will take such action with respect to any



subcontract or purchase order as may be directed by the Secretary of Labor as a means of enforcing such provisions including sanctions for noncompliance: Provided, however, that in the event the contractor becomes involved in, or is threatened with, litigation with a subcontractor or vendor as a result of such direction, the contractor may request the United States to enter into such litigation to protect the interests of the United States.

## NOTICE TO THIRD PARTY PARTICIPANTS

Federal requirements that apply to the Recipient or the Award, the accompanying Underlying Agreement, and any Amendments thereto may change due to changes in federal law, regulation, other requirements, or guidance, or changes in the Recipient's Underlying Agreement including any information incorporated by reference and made part of that Underlying Agreement; and

Applicable changes to those federal requirements will apply to each Third Party Agreement and parties thereto at any tier.

## FLY AMERICA

a) Definitions. As used in this clause—

1) "International air transportation" means transportation by air between a place in the United States and a place outside the United States or between two places both of which are outside the United States. 2) "United States" means the 50 States, the District of Columbia, and outlying areas. 3) "U.S.-flag air carrier" means an air carrier holding a certificate under 49 U.S.C. Chapter 411.

b) When Federal funds are used to fund travel, Section 5 of the International Air Transportation Fair Competitive Practices Act of 1974 (49 U.S.C. 40118) (Fly America Act) requires contractors, Agencies, and others use U.S. flag air carriers for U.S. Government-financed international air transportation of personnel (and their personal effects) or property, to the extent that service by those carriers is available. It requires the Comptroller General of the United States, in the absence of satisfactory proof of the necessity for foreign-flag air transportation, to disallow expenditures from funds, appropriated or otherwise established for the account of the United States, for international air transportation secured aboard a foreign-flag air carrier if a U.S. flag air carrier is available to provide such services.

c) If available, the Contractor, in performing work under this contract, shall use U.S. flag carriers for international air transportation of personnel (and their personal effects) or property.

d) In the event that the Contractor selects a carrier other than a U.S. flag air carrier for international air transportation, the Contractor shall include a statement on vouchers involving such transportation essentially as follows:

Statement of Unavailability of U.S. Flag Air Carriers

International air transportation of persons (and their personal effects) or property by U.S. flag air carrier was not available or it was necessary to use foreign flag air carrier service for the following reasons. See FAR § 47.403. [State reasons]:

e) Contractor shall include the substance of this clause, including this paragraph (e), in each subcontract or purchase under this contract that may involve international air transportation.

#### FEDERAL TAX LIABILITY AND RECENT FELONY CONVICTIONS

(1) The contractor certifies that it:

- (a) Does not have any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability; and
- (b) Was not convicted of the felony criminal violation under any Federal law within the preceding 24 months.

If the contractor cannot so certify, the Recipient will refer the matter to FTA and not enter into any Third Party Agreement with the Third Party Participant without FTA's written approval.

(2) **Flow•Down.** The Recipient agrees to require the contractor to flow this requirement down to participants at all lower tiers, without regard to the value of any subagreement.

#### **INCORPORATION OF FEDERAL TRANSIT ADMINISTRATION (FTA) TERMS**

The provisions within include, in part, certain Standard Terms and Conditions required under the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 CFR § 200), whether or not expressly set forth in the preceding contract provisions. All contractual provisions required by DOT, detailed in 2 CFR § 200 or as amended by 2 CFR § 1201, or the most recent version of FTA Circular 4220.1 are hereby incorporated by reference. Anything to the contrary herein notwithstanding, all mandated terms shall be deemed to control in the event of a conflict with other provisions contained in this Contract. The Contractor shall not perform any act, fail to perform any act, or refuse to comply with any request which would cause a violation of the FTA terms and conditions.

#### **NO GOVERNMENT OBLIGATION TO THIRD PARTIES**

The Recipient and Contractor acknowledge and agree that, notwithstanding any concurrence by the Federal Government in or approval of the solicitation or award of the underlying Contract, absent the express written consent by the Federal Government, the Federal Government is not a party to this Contract and shall not be subject to any obligations or liabilities to the Recipient, Contractor or any other party (whether or not a party to that contract) pertaining to any matter resulting from the underlying Contract. The Contractor agrees to include the above clause in each subcontract financed in whole or in part with Federal assistance provided by the FTA. It is further agreed that the clause shall not be modified, except to identify the subcontractor who will be subject to its provisions.

#### **NOTIFICATION TO FTA**

If a current or prospective legal matter that may affect the Federal Government emerges, the Recipient must promptly notify the FTA Chief Counsel and FTA Regional Counsel for the Region in which the

Recipient is located. The Recipient must include a similar notification requirement in its Third Party Agreements and must require each Third Party Participant to include an equivalent provision in its sub agreements at every tier, for any agreement that is a “covered transaction” according to 2 C.F.R. §§ 180.220 and 1200.220.

- (1) The types of legal matters that require notification include, but are not limited to, a major dispute, breach, default, litigation, or naming the Federal Government as a party to litigation or a legal disagreement in any forum for any reason.
- (2) Matters that may affect the Federal Government include, but are not limited to, the Federal Government’s interests in the Award, the accompanying Underlying Agreement, and any Amendments thereto, or the Federal Government’s administration or enforcement of federal laws, regulations, and requirements.
- (3) The Recipient must promptly notify the U.S. DOT Inspector General in addition to the FTA Chief Counsel or Regional Counsel for the Region in which the Recipient is located, if the Recipient has knowledge of potential fraud, waste, or abuse occurring on a Project receiving assistance from FTA. The notification provision applies if a person has or may have submitted a false claim under the False Claims Act, 31 U.S.C. § 3729 et seq., or has or may have committed a criminal or civil violation of law pertaining to such matters as fraud, conflict of interest, bribery, gratuity, or similar misconduct. This responsibility occurs whether the Project is subject to this Agreement or another agreement between the Recipient and FTA, or an agreement involving a principal, officer, employee, agent, or Third Party Participant of the Recipient. It also applies to subcontractors at any tier. Knowledge, as used in this paragraph, includes, but is not limited to, knowledge of a criminal or civil investigation by a Federal, state, or local law enforcement or other investigative agency, a criminal indictment or civil complaint, or probable cause that could support a criminal indictment, or any other credible information in the possession of the Recipient.

## **PATENT RIGHTS AND RIGHTS IN DATA**

### Intellectual Property Rights

This Project is funded through a Federal award with FTA for experimental, developmental, or research work purposes. As such, certain Patent Rights and Data Rights apply to all subject data first produced in the performance of this Contract. The Contractor shall grant the Agency intellectual property access and licenses deemed necessary for the work performed under this Contract and in accordance with the requirements of 37 C.F.R. part 401, “Rights to Inventions Made by Nonprofit Organizations and Small Business Firms Under Government Grants, Contracts and Cooperative Agreements,” and any implementing regulations issued by FTA or U.S. DOT.

The terms of an intellectual property agreement and software license rights will be finalized prior to execution of this Contract and shall, at a minimum, include the following restrictions:

Except for its own internal use, the Contractor may not publish or reproduce subject data in whole or in part, or in any manner or form, nor may the Contractor authorize others to do so, without the written consent of FTA, until such time as FTA may have either released or approved the release of such data to the public. This restriction on publication, however, does not apply to any contract with an academic institution.

For purposes of this Contract, the term “subject data” means recorded information whether or not copyrighted, and that is delivered or specified to be delivered as required by the Contract. Examples of “subject data” include, but are not limited to computer software, standards, specifications, engineering drawings and associated lists, process sheets, manuals, technical reports, catalog item identifications, and related information, but do not include financial reports, cost analyses, or other similar information used for performance or administration of the Contract.

1. The Federal Government reserves a royalty-free, non-exclusive and irrevocable license to reproduce, publish, or otherwise use, and to authorize others to use for “Federal Government Purposes,” any subject data or copyright described below. For “Federal Government Purposes,” means use only for the direct purposes of the Federal Government. Without the copyright owner’s consent, the Federal Government may not extend its Federal license to any other party.
  - a. Any subject data developed under the Contract, whether or not a copyright has been obtained; and
  - b. Any rights of copyright purchased by the Contractor using Federal assistance in whole or in part by the FTA.
2. Unless FTA determines otherwise, the Contractor performing experimental, developmental, or research work required as part of this Contract agrees to permit FTA to make available to the public, either FTA’s license in the copyright to any subject data developed in the course of the Contract, or a copy of the subject data first produced under the Contract for which a copyright has not been obtained. If the experimental, developmental, or research work, which is the subject of this Contract, is not completed for any reason whatsoever, all data developed under the Contract shall become subject data as defined herein and shall be delivered as the Federal Government may direct.
3. Unless prohibited by state law, upon request by the Federal Government, the Contractor agrees to indemnify, save, and hold harmless the Federal Government, its officers, agents, and employees acting within the scope of their official duties against any liability, including costs and expenses, resulting from any willful or intentional violation by the Contractor of proprietary rights, copyrights, or right of privacy, arising out of the publication, translation, reproduction, delivery, use, or disposition of any data furnished under that contract. The Contractor shall not be required to indemnify the Federal Government for any such liability arising out of the wrongful act of any employee, official, or agents of the Federal Government.
4. Nothing contained in this clause on rights in data shall imply a license to the Federal Government under any patent or be construed as affecting the scope of any license or other right otherwise granted to the Federal Government under any patent.
5. Data developed by the Contractor and financed entirely without using Federal assistance provided by the Federal Government that has been incorporated into work required by the underlying Contract is exempt from the requirements herein, provided that the Contractor identifies those data in writing at the time of delivery of the Contract work.
6. The Contractor agrees to include these requirements in each subcontract for experimental, developmental, or research work financed in whole or in part with Federal assistance.

## **PROGRAM FRAUD AND FALSE OR FRAUDULENT STATEMENTS AND RELATED ACTS**

The Contractor acknowledges that the provisions of the Program Fraud Civil Remedies Act of 1986, as amended, 31 U.S.C. § 3801 et seq. and U.S. DOT regulations, "Program Fraud Civil Remedies," 49 C.F.R. part 31, apply to its actions pertaining to this Project. Upon execution of the underlying contract, the Contractor certifies or affirms the truthfulness and accuracy of any statement it has made, it makes, it may make, or causes to be made, pertaining to the underlying contract or the FTA assisted project for which this contract work is being performed. In addition to other penalties that may be applicable, the Contractor further acknowledges that if it makes, or causes to be made, a false, fictitious, or fraudulent claim, statement, submission, or certification, the Federal Government reserves the right to impose the penalties of the Program Fraud Civil Remedies Act of 1986 on the Contractor to the extent the Federal Government deems appropriate.

The Contractor also acknowledges that if it makes, or causes to be made, a false, fictitious, or fraudulent claim, statement, submission, or certification to the Federal Government under a contract connected with a project that is financed in whole or in part with Federal assistance originally awarded by FTA under the authority of 49 U.S.C. chapter 53, the Government reserves the right to impose the penalties of 18 U.S.C. § 1001 and 49 U.S.C. § 5323(l) on the Contractor, to the extent the Federal Government deems appropriate.

The Contractor agrees to include the above two clauses in each subcontract financed in whole or in part with Federal assistance provided by FTA. It is further agreed that the clauses shall not be modified, except to identify the subcontractor who will be subject to the provisions.

## **PROHIBITION ON CERTAIN TELECOMMUNICATIONS AND VIDEO SURVEILLANCE SERVICES OR EQUIPMENT.**

- a. Recipients and subrecipients are prohibited from obligating or expending loan or grant funds to:
  1. Procure or obtain;
  2. Extend or renew a contract to procure or obtain;or
  3. Enter into a contract (or extend or renew a contract) to procure or obtain equipment, services, or systems that uses covered telecommunications equipment or services as a substantial or essential component of any system, or as critical technology as part of any system. As described in Public Law 115•232, section 889, covered telecommunications equipment is telecommunications equipment produced by Huawei Technologies Company or ZTE Corporation (or any subsidiary or affiliate of such entities).
- i. For the purpose of public safety, security of government facilities, physical security surveillance of critical infrastructure, and other national security purposes, video surveillance and telecommunications equipment produced by Hytera Communications Corporation, Hangzhou Hikvision Digital Technology Company, or Dahua Technology Company(or any subsidiary or affiliate of such entities).

- ii. Telecommunications or video surveillance services provided by such entities or using such equipment.
- iii. Telecommunications or video surveillance equipment or services procured or provided by an entity that the Secretary of Defense, in consultation with the Director of the National Intelligence or the Director of the Federal Bureau of Investigation, reasonably believes to be an entity owned or controlled by, or otherwise connected to, the government of a covered foreign country.
- b. In implementing the prohibition under Public Law 115•232, section 889, subsection (f), paragraph (1), heads of executive agencies administering loan, grant, or subsidy programs shall prioritize available funding and technical support to assist affected businesses, institutions and organizations as is reasonably necessary for those affected entities to transition from covered communications equipment and services, to procure replacement equipment and services, and to ensure that communications service to users and customers is sustained.
- c. See Public Law 115•232,section 889 for additional in formation.
- d. See also § 200.471.

## **PROMPT PAYMENT**

(Does not apply to projects fully funded by the Tribal Transportation Program (TTP).)

The contractor is required to pay its subcontractors performing work related to this contract for satisfactory performance of that work no later than 30 days after the contractor’s receipt of payment for that work. In addition, the contractor is required to return any retainage payments to those subcontractors within 30 days after the subcontractor's work related to this contract is satisfactorily completed.

The contractor must promptly notify the Agency, whenever a DBE subcontractor performing work related to this contract is terminated or fails to complete its work and must make good faith efforts to engage another DBE subcontractor to perform at least the same amount of work. The contractor may not terminate any DBE subcontractor and perform that work through its own forces or those of an affiliate without prior written consent of the Agency.

## **SAFE OPERATION OF MOTOR VEHICLES**

### **Seat Belt Use**

The Contractor is encouraged to adopt and promote on•the•job seat belt use policies and programs for its employees and other personnel that operate company•owned vehicles, company rented vehicles, or personally operated vehicles. The terms “company-owned” and “company-leased” refer to vehicles owned or leased either by the Contractor or Agency.

### **Distracted Driving**

The Contractor agrees to adopt and enforce workplace safety policies to decrease crashes caused by distracted drivers, including policies to ban text messaging while using an electronic device supplied by an employer, and driving a vehicle the driver owns or rents, a vehicle Contractor owns, leases, or rents, or a privately-owned vehicle when on official business in connection with the work performed under this Contract.

## **SEISMIC SAFETY**

The contractor agrees that any new building or addition to an existing building will be designed and constructed in accordance with the standards for Seismic Safety required in Department of Transportation (DOT) Seismic Safety Regulations 49 C.F.R. part 41 and will certify to compliance to the extent required by the regulation. The contractor also agrees to ensure that all work performed under this contract, including work performed by a subcontractor, is in compliance with the standards required by the Seismic Safety regulations and the certification of compliance issued on the project.

## **SPECIAL NOTIFICATION REQUIREMENTS FOR STATES**

Applies to States –

a. To the extent required under federal law, the State, as the Recipient, agrees to provide the following information about federal assistance awarded for its State Program, Project, or related activities:

(1) The Identification of FTA as the federal agency providing the federal assistance for a State Program or Project;

(2) The Catalog of Federal Domestic Assistance Number of the program from which the federal assistance for a State Program or Project is authorized; and

(3) The amount of federal assistance FTA has provided for a State Program or Project.

b. Documents • The State agrees to provide the information required under this provision in the following documents: (1) applications for federal assistance,

(2) requests for proposals or solicitations, (3) forms, (4) notifications, (5) press releases, and (6) other publications.

## **SIMPLIFIED ACQUISITION THRESHOLD**

Contracts for more than the simplified acquisition threshold, which is the inflation adjusted amount determined by the Civilian Agency Acquisition Council and the Defense Acquisition Regulations Council (Councils) as authorized by 41 U.S.C. § 1908, or otherwise set by law, must address administrative, contractual, or legal remedies in instances where contractors violate or breach contract terms, and provide for such sanctions and penalties as appropriate. (Note that the simplified acquisition threshold determines the procurement procedures that must be employed pursuant to 2 C.F.R. §§ 200.317–200.327.

The simplified acquisition threshold does not exempt a procurement from other eligibility or processes requirements that may apply. For example, Buy America's eligibility and process requirements apply to any procurement in excess of \$150,000. 49 U.S.C. § 5323(j)(13).

#### SEVERABILITY

The Contractor agrees that if any provision of this agreement or any amendment thereto is determined to be invalid, then the remaining provisions thereof that conform to federal laws, regulations, requirements, and guidance will continue in effect.

## TERMINATION

### Termination for Convenience (General Provision)

The Agency may terminate this contract, in whole or in part, at any time by written notice to the Contractor when it is in the Agency's best interest. The Contractor shall be paid its costs, including contract close-out costs, and profit on work performed up to the time of termination. The Contractor shall promptly submit its termination claim to Agency to be paid the Contractor. If the Contractor has any property in its possession belonging to Agency, the Contractor will account for the same, and dispose of it in the manner Agency directs.

### Termination for Default [Breach or Cause] (General Provision)

If the Contractor does not deliver supplies in accordance with the contract delivery schedule, or if the contract is for services, the Contractor fails to perform in the manner called for in the contract, or if the Contractor fails to comply with any other provisions of the contract, the Agency may terminate this contract for default. Termination shall be effected by serving a Notice of Termination on the Contractor setting forth the manner in which the Contractor is in default. The Contractor will be paid only the contract price for supplies delivered and accepted, or services performed in accordance with the manner of performance set forth in the contract. If it is later determined by the Agency that the Contractor had an excusable reason for not performing, such as a strike, fire, or flood, events which are not the fault of or are beyond the control of the Contractor, the Agency, after setting up a new delivery of performance schedule, may allow the Contractor to continue work, or treat the termination as a Termination for Convenience.

### Opportunity to Cure (General Provision)

The Agency, in its sole discretion may, in the case of a termination for breach or default, allow the Contractor [an appropriately short period of time] in which to cure the defect. In such case, the Notice of Termination will state the time period in which cure is permitted and other appropriate conditions. If Contractor fails to remedy to Agency's satisfaction the breach or default of any of the terms, covenants, or conditions of this Contract within [10 days] after receipt by Contractor of written notice from Agency setting forth the nature of said breach or default, Agency shall have the right to terminate the contract without any further obligation to Contractor. Any such termination for default shall not in any way operate to preclude Agency from also pursuing all available remedies against Contractor and its sureties for said breach or default.



## Waiver of Remedies for any Breach

In the event that Agency elects to waive its remedies for any breach by Contractor of any covenant, term or condition of this contract, such waiver by Agency shall not limit Agency's remedies for any succeeding breach of that or of any other covenant, term, or condition of this contract.

### Termination for Convenience (Professional or Transit Service Contracts)

The Agency, by written notice, may terminate this contract, in whole or in part, when it is in the Agency's interest. If this contract is terminated, the Agency shall be liable only for payment under the payment provisions of this contract for services rendered before the effective date of termination.

### Termination for Default (Supplies and Service)

If the Contractor fails to deliver supplies or to perform the services within the time specified in this contract or any extension, or if the Contractor fails to comply with any other provisions of this contract, the Agency may terminate this contract for default. The Agency shall terminate by delivering to the Contractor a Notice of Termination specifying the nature of the default. The Contractor will only be paid the contract price for supplies delivered and accepted, or services performed in accordance with the manner or performance set forth in this contract. If, after termination for failure to fulfill contract obligations, it is determined that the Contractor was not in default, the rights and obligations of the parties shall be the same as if the termination had been issued for the convenience of the Agency.

### Termination for Default (Transportation Services)

If the Contractor fails to pick up the commodities or to perform the services, including delivery services, within the time specified in this contract or any extension, or if the Contractor fails to comply with any other provisions of this contract, the Agency may terminate this contract for default. The Agency shall terminate by delivering to the Contractor a Notice of Termination specifying the nature of default. The Contractor will only be paid the contract price for services performed in accordance with the manner of performance set forth in this contract.

If this contract is terminated while the Contractor has possession of Agency goods, the Contractor shall, upon direction of the Agency, protect and preserve the goods until surrendered to the Agency or its agent. The Contractor and Agency shall agree on payment for the preservation and protection of goods. Failure to agree on an amount will be resolved under the Dispute clause.

If, after termination for failure to fulfill contract obligations, it is determined that the Contractor was not in default, the rights and obligations of the parties shall be the same as if the termination had been issued for the convenience of the Agency.

### Termination for Default (Construction)

If the Contractor refuses or fails to prosecute the work or any separable part, with the diligence that will ensure its completion within the time specified in this contract or any extension or fails to complete the work within this time, or if the Contractor fails to comply with any other provision of this contract, Agency may terminate this contract for default. The Agency shall terminate by delivering to the Contractor a Notice of Termination specifying the nature of the default. In this event, the Agency may take over the work and complete it by contract or otherwise, and may take possession of and use any materials, appliances, and plant on the work site necessary for completing the work. The Contractor and its sureties shall be liable for any damage to the Agency resulting from the Contractor's refusal or failure to complete the work within specified time, whether or not the Contractor's right to proceed with the

work is terminated. This liability includes any increased costs incurred by the Agency in completing the work.

The Contractor's right to proceed shall not be terminated nor shall the Contractor be charged with damages under this clause if: 1. The delay in completing the work arises from unforeseeable causes beyond the control and without the fault or negligence of the Contractor. Examples of such causes include: acts of God, acts of Agency, acts of another contractor in the performance of a contract with Agency, epidemics, quarantine restrictions, strikes, freight embargoes; and 2. The Contractor, within [10] days from the beginning of any delay, notifies Agency in writing of the causes of delay. If, in the judgment of Agency, the delay is excusable, the time for completing the work shall be extended. The judgment of Agency shall be final and conclusive for the parties, but subject to appeal under the Disputes clause(s) of this contract. 3. If, after termination of the Contractor's right to proceed, it is determined that the Contractor was not in default, or that the delay was excusable, the rights and obligations of the parties will be the same as if the termination had been issued for the convenience of Agency.

#### Termination for Convenience or Default (Architect and Engineering)

The Agency may terminate this contract in whole or in part, for the Agency's convenience or because of the failure of the Contractor to fulfill the contract obligations. The Agency shall terminate by delivering to the Contractor a Notice of Termination specifying the nature, extent, and effective date of the termination. Upon receipt of the notice, the Contractor shall (1) immediately discontinue all services affected (unless the notice directs otherwise), and (2)

deliver to the Agency's Contracting Officer all data, drawings, specifications, reports, estimates, summaries, and other information and materials accumulated in performing this contract, whether completed or in process. Agency has a royalty-free, nonexclusive, and irrevocable license to reproduce, publish or otherwise use, all such data, drawings, specifications, reports, estimates, summaries, and other information and materials.

If the termination is for the convenience of the Agency, the Agency's Contracting Officer shall make an equitable adjustment in the contract price but shall allow no anticipated profit on unperformed services. If the termination is for failure of the Contractor to fulfill the contract obligations, the Agency may complete the work by contract or otherwise and the Contractor shall be liable for any additional cost incurred by the Agency. If, after termination for failure to fulfill contract obligations, it is determined that the Contractor was not in default, the rights and obligations of the parties shall be the same as if the termination had been issued for the convenience of Agency

#### Termination for Convenience or Default (Cost-Type Contracts)

The Agency may terminate this contract, or any portion of it, by serving a Notice of Termination on the Contractor. The notice shall state whether the termination is for convenience of Agency or for the default of the Contractor. If the termination is for default, the notice shall state the manner in which the Contractor has failed to perform the requirements of the contract. The Contractor shall account for any property in its possession paid for from funds received from the Agency, or property supplied to the Contractor by the Agency. If the termination is for default, the Agency may fix the fee, if the contract provides for a fee, to be paid the Contractor in proportion to the value, if any, of work performed up to the time of termination. The Contractor shall promptly submit its termination claim to the Agency and the parties shall negotiate the termination settlement to be paid the Contractor.

If the termination is for the convenience of Agency, the Contractor shall be paid its contract close-out costs, and a fee, if the contract provided for payment of a fee, in proportion to the work performed up to the time of termination.

If, after serving a Notice of Termination for Default, the Agency determines that the Contractor has an excusable reason for not performing, the Agency, after setting up a new work schedule, may allow the Contractor to continue work, or treat the termination as a Termination for Convenience.

#### TRAFFICKING IN PERSONS

The contractor agrees that it and its employees that participate in the Recipient's Award, may not:

- (a) Engage in severe forms of trafficking in persons during the period of time that the Recipient's Award is in effect;
- (b) Procure a commercial sex act during the period of time that the Recipient's Award is in effect; or
- (c) Use forced labor in the performance of the Recipient's Award or subagreements thereunder.

#### VIOLATION AND BREACH OF CONTRACT

##### Disputes:

Disputes arising in the performance of this Contract that are not resolved by agreement of the parties shall be decided in writing by the authorized representative of the agency. This decision shall be final and conclusive unless within [10] days from the date of receipt of its copy, the Contractor mails or otherwise furnishes a written appeal to the agencies authorized representative. In connection with any such appeal, the Contractor shall be afforded an opportunity to be heard and to offer evidence in support of its position. The decision of the agencies authorized representative shall be binding upon the Contractor and the Contractor shall abide by the decision.

##### Performance during Dispute:

Unless otherwise directed by the agencies authorized representative, contractor shall continue performance under this contract while matters in dispute are being resolved.

##### Claims for Damages:

Should either party to the contract suffer injury or damage to person or property because of any act or omission of the party or of any of his employees,

agents or others for whose acts he is legally liable, a claim for damages therefore shall be made in writing to such other party within a reasonable time after the first observance of such injury or damage.

##### Remedies:

Unless this contract provides otherwise, all claims, counterclaims, disputes and other matters in question between the agencies authorized representative and contractor arising out of or relating to this agreement or its breach will be decided by arbitration if the parties mutually agree, or in a court of competent jurisdiction within the State in which the Agency is located.

Rights and Remedies:

Duties and obligations imposed by the contract documents and the rights and remedies available thereunder shall be in addition to and not a limitation of any duties, obligations, rights and remedies otherwise imposed or available by law. No action or failure to act by the Agency or contractor shall constitute a waiver of any right or duty afforded any of them under the contract, nor shall any such action or failure to act constitute an approval of or acquiescence in any breach thereunder, except as may be specifically agreed in writing.

**I. DEFINITIONS**

- A. B2Gnow. Web based platform utilized by the Colorado Department of Transportation (CDOT) to track Disadvantaged Business Enterprise (DBE) participation and prompt payment requirements on FTA-assisted contracts.
- B. CDOT Form 1432 Commercially Useful Function Questionnaire. Document that records and verifies each DBE's CUF for Eligible Participation.
- C. Commercially Useful Function (CUF). Responsibility for the execution of the work and carrying out such responsibilities by actually performing, managing and supervising the work per Section II. 3(h) of these contract requirements.
- D. Contract. The written agreement between the Subrecipient and Prime Contractor, setting forth the obligations of the parties for the performance of the  
  
work and the basis of payment.
- E. DBE Program Manual. The manual maintained by the Environmental Justice and Equity Branch detailing CDOT's policies and procedures for administering the DBE program.
- F. Disadvantaged Business Enterprise (DBE). A Colorado-certified Disadvantaged Business Enterprise listed on the Colorado Unified Certification Program (UCP) DBE Directory at [www.co-dbe.org](http://www.co-dbe.org).
- G. Eligible Participation. Work by a DBE which counts as valid DBE participation on the Contract.
- H. Joint Check. A check issued by the Contractor or one of the Contractor's subcontractors to a DBE firm and a material supplier or other third party for materials or services to be incorporated into the work.
- I. Prime Contractor. An individual, firm, or other legal entity legally obligated to the Subrecipient to furnish the services and/or supplies as detailed in the Contract.
- J. Project Manager. Individual overseeing the management and work on the Contract on behalf of the Subrecipient.

- K. Race-Neutral. DBE Participation on the Contract obtained through customary competitive procedures.
- L. Subcontractor. An individual, firm, corporation, or other legal entity at any tier to whom the Contractor sublets part of the Contract. A subcontractor shall include an individual, firm, corporation, or other legal entity who meets one or both of the following criteria:
- (a) Establishes a fabricating process or facility exclusively for the use of the Project, whether on or off the site of work per 29 CFR 5.2 Site of Work (1)(i)(ii)(iii).
  - (b) Performs work that is incorporated within the Project limits.
- M. Subrecipient. Any entity, public or private, to which U.S. Department of Transportation financial assistance is extended through CDOT, or who has applied for such assistance.
- N. Supplier. An individual, firm, or corporation who meets all of the following criteria:
- (a) Sells manufactured materials as a broker, distributor, dealer, manufacturer, or wholesaler who may or may not deliver the materials to the site of work.
  - (b) The manufacturing of the materials, articles, supplies, or equipment used for the contract that is being supplied shall come from a facility or facilities that:
    - (1) Is not located on, nor does itself constitute, the project or contract's primary construction site or secondary construction site as defined in 29 CFR 5.2; and
    - (2) Either was established before opening of bids on the contract, or is not dedicated exclusively, or nearly so, to the performance of the contract.
  - (c) The supplier's only obligations for activity on the contract is the delivery of materials, articles, supplies, or equipment, which may include pickup of the same in addition to, but not exclusive of, delivery, and which may also include activities incidental to such delivery and pickup, such as loading, unloading, or waiting for materials to be loaded or unloaded; and
  - (d) If an entity, in addition to being engaged in the activities specified in paragraph (c) of this definition, also engages in other construction, prosecution, completion, or repair on the site of the work, then this entity is not a supplier but a contractor.
- O. Total Earnings Amount: Amount of the Contract earned by the Prime Contractor, including approved Contract Modification Orders and approved force account work performed, but not including deductions for liquidated damages, price reduced material, work time violations, overweight loads or liens. The amount of the Contract earned does not include plan force account items (i.e. pavement incentives, etc).
- P. Work Code. A code to identify the work that a DBE is certified to perform as a DBE. A work code includes a six digit North American Industry Classification System (NAICS) number plus a descriptor. Work Codes are listed on a firm's profile on the UCP DBE Directory at [www.co-dbe.org](http://www.co-dbe.org). The Prime

Contractor may contact CDOT EJE at (303) 757-9234 or [dot\\_civilrights@state.co.us](mailto:dot_civilrights@state.co.us) to receive guidance on whether a Work Code covers the work to be performed.

**-End of Request for Qualifications-**